UNITED STATES PATENT APPLICATION

OF

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FOR

SYSTEMS AND METHODS FOR USING ONE-DIMENSIONAL GAUSSIAN DISTRIBUTIONS TO MODEL SPEECH

GOVERNMENT CONTRACT

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BACKGROUND OF THE INVENTION

A.   Field of the Invention

The present invention relates generally to speech recognition and, more particularly, to

systems and methods that model speech using a small number of one-dimensional Gaussian

10   distributions.

B.   Description of Related Art

Conventional speech recognizers identify unknown spoken utterances. Through a process

known as training, the recognizer examines known words and samples and records features of the

words as recognition models. The recognition models represent typical acoustic renditions of

15   known words. In the training process, the recognizer applies a training algorithm to the

recognition models to form the stored representations that it uses to identify future unknown

words.

Conventional speech recognizers typically perform speech recognition in four stages. In

the first stage, the recognizer receives unknown speech signals from a source, such as a

20   microphone or network. In the second stage, the recognizer determines features that are based on

a short-term spectral analysis of the unknown speech signal at predetermined intervals, such as

10 ms. These features, commonly referred to as "feature vectors," are usually the output of some

type of spectral analysis technique, such as a filter bank analysis, a linear predictive coding

analysis, or a Fourier transform analysis.

In the third stage, the recognizer compares the feature vectors with one or more of the

recognition models that have been stored during the training process. During this comparison,

5   the recognizer determines the degree of similarity between the feature vectors and the recognition

models. In the final stage, the recognizer determines, based on the recognition model similarity

scores, which recognition model best matches the unknown speech signal. The recognizer may

then output the word(s) corresponding to the recognition model with the highest similarity score.

Many of today's speech recognizers are based on the hidden Markov model (HMM). The

10   HMM provides a pattern matching approach to speech recognition. Conventional recognition

systems commonly use two types of HMMs: discrete density HMMs and continuous density

HMMs.

For discrete density HMMs, a conventional speech recognition system divides the feature

space into a predetermined number of disjoint regions. Typically, the system computes one

15   feature vector for every 10 ms of speech. The system determines, for each feature vector in the

input speech, in which regions the feature vector lies. This usually does not require very much

computation because the system performs this operation only once in each frame. Each

probability distribution in the HMM then models the probability mass within each region. Thus,

to obtain the probability of the input feature vector for a particular distribution, the speech

20   recognition system need only look up the probability for the index of the region for the feature

vector.

Continuous density HMMs model each distribution using a parametric function, such as a

mixture of Gaussian distributions. That is, each distribution has its own set of multinomial

Gaussian distributions that together form a probability density function. In this case, when a

5   conventional speech recognition system compares an input feature vector with a probability

distribution for a state, the system computes the weighted Euclidean distance from the input

feature vector to each Gaussian distribution in the mixture distribution to determine the

probability of the Gaussian distribution. This calculation may be represented by the following

equation:

$$Dist = \sum_{D}^{i=1} \frac{x(i) - u(i)^2}{\sigma^2} \qquad\qquad \text{Eq. 1}$$

where x represents the input vector, u represents the mean of the Gaussian distribution, and σ

represents the standard deviation of the Gaussian distribution (i.e., σ^2 represents variance). The

system computes the distance for each dimension of the input vector. A typical input vector may

have 45 dimensions. As a result, the distance computation often dominates the computation

15   needed for speech recognition.

Continuous density HMMs generally provide more accurate recognition than discrete

density HMMs, making them more desirable. Many conventional speech recognition systems

share distributions among multiple states to decrease the amount of training data needed and to

decrease the amount of computation needed during recognition. Many other conventional

20   systems share sets of Gaussian distributions among several distributions, but permit the

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distributions to have different mixture weights. The distance computation, however, still

dominates the computation time in both of these systems. Generally, the more Gaussian

distributions an HMM has, the more accurate the speech recognition is, as long as there is

enough training data available. In practice, training data is always limited.

5   As a result, a need exists for a system and method that reduces the amount of

computation needed for speech recognition and reduces the amount of training data needed to

model the Gaussian distributions.

SUMMARY OF THE INVENTION

Systems and methods consistent with the present invention address this need by providing

10   a small number of one-dimensional Gaussian distributions from which all multi-dimensional

Gaussian distributions may be constructed. This permits the Gaussian distribution likelihood

computation to be performed by a table lookup operation, thereby reducing the computation time

and the amount of training data needed.

In accordance with the purpose of the invention as embodied and broadly described

15   herein, a system for recognizing speech receives an input speech vector and identifies a Gaussian

distribution. The system determines an address from the input speech vector and uses the

address to retrieve a distance value for the Gaussian distribution from a table. The system then

determines the probability of the Gaussian distribution using the distance value and recognizes

the input speech vector based on the determined probability.

In another implementation consistent with the present invention, a computer-readable

medium stores instructions executable by one or more processors to perform a speech recognition

method. The computer-readable medium includes instructions for obtaining an input speech

vector having multiple dimensions; instructions for quantizing each of the dimensions of the

5   input speech vector; instructions for identifying one or more one-dimensional Gaussian

distributions; instructions for accessing a table to retrieve a distance value for each of the one or

more one-dimensional Gaussian distributions using the quantized input speech vector;

instructions for determining probabilities of the one or more one-dimensional Gaussian

distributions using the distance values; and instructions for recognizing the input speech vector

10   from the determined probabilities.

In yet another implementation consistent with the present invention, a computer-readable

medium stores instructions executable by one or more processors to perform a speech recognition

method. The computer-readable medium includes instructions for obtaining an input speech

vector having multiple dimensions; instructions for identifying one or more one-dimensional

15   Gaussian distributions; instructions for accessing a table to retrieve a distance value for each of

the one or more one-dimensional Gaussian distributions and each of the dimensions of the input

speech vector; instructions for determining probabilities of the one or more one-dimensional

Gaussian distributions using the distance values; and instructions for recognizing the input

speech vector from the determined probabilities.

20   In a further implementation consistent with the present invention, a method generates a

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table for use in Gaussian distribution probability calculations during speech recognition. The

method includes identifying a set of means and variances; identifying one-dimensional Gaussian

distributions to be used for recognition using the identified set of means and variances;

determining distance values for the one-dimensional Gaussian distributions; and storing the

5   distance values in the table.

In another implementation consistent with the present invention, a method generates a

table for use in Gaussian distribution probability calculations during speech recognition. The

method includes identifying a set of means and variances; identifying one-dimensional Gaussian

distributions to be used for recognition using the identified set of means and variances;

10   determining distance values for the one-dimensional Gaussian distributions for each of multiple

dimensions of multiple speech vectors; and storing the distance values in the table.

BRIEF DESCRIPTION OF THE DRAWINGS

The accompanying drawings, which are incorporated in and constitute a part of this

specification, illustrate the invention and, together with the description, explain the invention. In

15   the drawings,

Fig. 1 is an exemplary diagram of a system in which systems and methods consistent with

the present invention may be implemented;

Fig. 2 is an exemplary flowchart of processing for creating a distance table in an

implementation consistent with the present invention;

Fig. 3 is an exemplary diagram of a distance table that may be stored in the storage device

of Fig. 1 in an implementation consistent with the present invention;

Fig. 4 is an exemplary flowchart of processing for recognizing input speech in an

implementation consistent with the present invention;

5   Fig. 5 is an exemplary flowchart of processing for creating a distance table according to

an alternate implementation consistent with the present invention; and

Fig. 6 is an exemplary flowchart of processing for recognizing input speech according to

an alternate implementation consistent with the present invention.

DETAILED DESCRIPTION

10   The following detailed description of the invention refers to the accompanying drawings.

The same reference numbers in different drawings identify the same or similar elements. Also,

the following detailed description does not limit the invention. Instead, the scope of the

invention is defined by the appended claims and equivalents.

Systems and methods consistent with the present invention reduce the amount of

15   computation required in large vocabulary speech recognition systems that use Gaussian

distribution models. The systems and methods provide a small number of one-dimensional

Gaussian distributions from which all multi-dimensional Gaussian distributions may be

constructed. As a result, the systems and methods can perform the Gaussian distribution

likelihood computation by a simple table lookup operation.

Fig. 1 is a diagram of an exemplary system 100 in which systems and methods consistent

with the present invention may be implemented. The system 100 may use hidden Markov model

(HMM) techniques to perform speech recognition. According to an implementation consistent

5   with the present invention, the system 100 includes an analog-to-digital (A/D) converter 110, a

feature extractor 120, an end-point detector 130, a speech recognizer 140, and a storage device

150. The A/D converter 110 may include a conventional A/D converter that receives an input

speech signal in analog form from, for example, a microphone or a network and converts it to

digital form. The feature extractor 120 may include conventional mechanisms for organizing the

10   received speech into frames of, for example, 10 ms and derives an input speech vector for each

frame.

The end-point detector 130 may include conventional mechanisms that use the speech

frames and input vectors to identify the beginning and end of the speech signal. The speech

recognizer 140 may include a processor, microprocessor, or the like. The speech recognizer 140

15   performs speech recognition on the speech signal to identify the words that were spoken. The

storage device 150 may store instructions and data used by the speech recognizer 140. The

storage device 150 also may contain statistical models 152 for speech recognition, including

many multinomial Gaussian distributions. Each dimension of the multinomial Gaussian

distribution may be represented by a code for one of a small set of one-dimensional Gaussian

20   distributions 154. In addition, the storage device 150 may contain a distance table 156 (described

below) of precomputed distances associated with each one-dimensional Gaussian distribution.

EXEMPLARY PROCESSING FOR CREATING DISTANCE TABLE

Fig. 2 is an exemplary flowchart of processing for creating a distance table 156 in an

implementation consistent with the present invention. The processing will be described as a

5   series of steps performed by a computer device, such as a personal computer. One or more of

these steps, however, may be performed by one or more human operators.

Processing may begin with the computer device determining the set of means and

variances to be used [step 210]. The computer device estimates the set of means and variances

using standard estimation techniques for HMMs. The computer device then determines a small

10   set of representative means and variances, using, for example, a quantizer. There are several

known techniques for designing a quantizer for continuous values. The simplest is to divide the

range of values into several equal sub-ranges. To reduce the quantization error, a conventional

technique, such as the k-means clustering technique, can be used on a set of training data. In

other implementations consistent with the present invention, other techniques are used to assign a

15   set of representative values. To quantize the means in the model, the computer device may

choose, for each estimated mean, a value that is closest to the estimated mean.

In the equation for distance (Eq. 1), variances are used in the denominator. Therefore, the

distance is linearly sensitive to the logarithm of the variance. So after estimating all of the

variances, the computer device may compute the logarithm of the variances. Then, the computer

20   device may use the same procedure that was used for the means (e.g., k-means clustering) to

determine a representative set of log variances. For each variance in the HMM, the computer

device may choose the log variance that is closest to the log of the estimated variance.

The computer device may replace the value of each of the means and variances with a

code, such as a three-bit code [step 220]. To minimize the amount of training data needed, the

5   computer may: (1) reestimate the means and variances to maximize the likelihood of the data; (2)

permit the data to be realigned to different states and different Gaussian distributions while

keeping the assignment of mean codes and variance codes fixed; (3) reestimate the means and

variances and then determine the Gaussian distributions that maximize the likelihood in each

dimension; or (4) iterate the reestimation of the means and variances by assigning codes for the

10   means and variances to the Gaussian distributions and reestimate the maximum likelihood of the

means and variances given the code assignments.

The computer device may then identify the one-dimensional Gaussian distributions to be

used for recognition using the determined set of means and variances [step 230]. Assume, for

example, that the computer device determines that the set of means and variances includes eight

15   different means and eight different variances. In this case, the computer device would identify

sixty-four one-dimensional Gaussian distributions from the sixty-four different combinations of

the means and variances. The computer device may assign a code to each of the Gaussian

distributions based on the codes assigned to the means and variances. For example, the computer

device may simply concatenate the three-bit means code and the three-bit variance code to create

20   a six-bit code for each Gaussian distribution.

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The computer device may determine the subset of possible quantized input values [step

240]. This subset of values may be selected through experimentation or may be randomly

chosen. During runtime, the computer device may replace each value of the input vector with the

nearest value in the subset of values. Assume, for example, that the subset includes thirty-two

5   possible values.

The computer device may then compute the possible distances [step 250]. The number of

possible distances for a dimension may be determined from the number of Gaussian distributions

and the number of possible quantized input values (i.e., in the subset of possible input values). If

there are sixty-four possible Gaussian distributions and thirty-two possible input values, the

10   computer device may calculate 2,048 possible distances. The computer device may create the

distance table 156 to store the possible distances [step 260]. The computer device may use a

combination of the code for the Gaussian distribution and a code for the quantized input value as

an address into the distance table 156. In an implementation consistent with the present

invention, the computer device concatenates the Gaussian distribution code and the quantized

15   input value code to determine the address for a particular distance in the distance table 156.

Fig. 3 is an exemplary diagram of the distance table 156 in an implementation consistent

with the present invention. The distance table 156 may include multiple entries 310. Each of the

entries 310 may store one of the possible distances 320 referenced by an address 330. The

distance 320 and address 330 may be determined as described above.

20   EXEMPLARY RUNTIME PROCESSING

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Fig. 4 is an exemplary flowchart of processing for recognizing input speech in an

implementation consistent with the present invention. Processing begins with the system 100

(Fig. 1) receiving input speech and creating an input vector for each portion of speech, such as

each 10 ms frame of speech. The speech recognizer 140 may quantize each dimension of the

5   input vector [step 410]. In other words, the speech recognizer 140 may select one of the input

values in the subset of possible quantized input values for the input vector. For example, the

speech recognizer 140 may select one of the quantized input values that is closest in value to the

input vector. Other selection techniques may alternatively be used.

The speech recognizer 140 may then determine an address for accessing the distance table

10   156 [step 420]. To determine the address, the speech recognizer 140 may shift the code for the

quantized input value by a number of bits equal to the number of bits for the code for a Gaussian

distribution of interest and concatenate the two codes. The speech recognizer 140 may use the

concatenated codes as the address into the distance table 156. Using the address, the speech

recognizer 140 may access the distance table 156 to determine a distance value for the Gaussian

15   distribution [step 430]. The distance value indicates to the speech recognizer 140 the probability

of the Gaussian distribution [step 440].

The speech recognizer 140 may perform these steps many times for the different Gaussian

distributions to identify one or more of the Gaussian distributions with the highest probabilities.

The speech recognizer 140 may then recognize the inputted speech based on a sequence of the

20   probabilities [step 450]. In other words, the speech recognizer 140 uses conventional techniques

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for determining what word or words were spoken based on the Gaussian distributions identified

with the highest probabilities for each of the received frames of speech.

ALTERNATE PROCESSING FOR CREATING DISTANCE TABLE

Fig. 5 is an exemplary flowchart of processing for creating a distance table 156 according

·5   to an alternate implementation consistent with the present invention. The processing will be

described as a series of steps performed by a computer device, such as a personal computer. One

or more of these steps, however, may be performed by one or more human operators.

This alternate implementation differs from the above-described implementation by not

requiring the quantization of the input vector and in the way it uses the input feature vector to

10   compute the distance. This alternate implementation is especially beneficial in large vocabulary

applications in which the speech recognizer 140 (Fig. 1) computes a large number of Gaussian

distributions for each input vector.

Processing may begin with the computer device determining the set of means and

variances to be used. The computer device estimates the set of means and variances from

15   training data. The procedures and methods used to determine the set of quantized means and

variances is the same as described above with regard to Fig. 2. Therefore, steps 510-530 are

identical to steps 210-230 described above with regard to Fig. 2.

The computer device may then precompute the weighted distance for each of the

identified Gaussian distributions for each dimension of each input vector (e.g., every 10 ms

20   frame) [step 540]. The computer device may use the actual floating point values for the means

and variances for computing the distances. The number of distances computed may be

determined from the number of Gaussian distributions and the number of dimensions of the input

vector. If there are sixty-four possible Gaussian distributions and forty-five dimensions, the

computer device may calculate 2,880 distances.

5   The computer device may create the distance table 156 to store the distances [step 550].

The distance table 156 may be configured as shown in Fig. 3. The computer device may use a

combination of the code for the Gaussian distribution and the dimension number as an address

into the distance table 156. In an implementation consistent with the present invention, the

computer device may concatenate the Gaussian distribution code and the dimension number to

10   determine the address for a particular distance in the distance table 156.

EXEMPLARY ALTERNATE RUNTIME PROCESSING

Fig. 6 is an exemplary flowchart of processing for recognizing input speech according to

an alternate implementation consistent with the present invention. Processing begins with the

system 100 (Fig. 1) receiving input speech and creating an input vector for each portion of

15   speech, such as each 10 ms frame of speech. For each dimension of the input vector, the speech

recognizer 140 determines an address for accessing the distance table 156 [step 610]. To

determine the address, the speech recognizer 140 may concatenate the code for a Gaussian

distribution of interest and the dimension number. Using the address, the speech recognizer 140

may access the distance table 156 to determine a distance value for the Gaussian distribution

20   [step 620]. The distance value indicates to the speech recognizer 140 the probability of the

Gaussian distribution [step 630].

The speech recognizer 140 may perform these steps many times for the different Gaussian distributions and the different dimensions to identify one or more of the Gaussian distributions with the highest probabilities. The speech recognizer 140 then recognizes the inputted speech

5   based on a sequence of the probabilities [step 640]. In other words, the speech recognizer 140 may use conventional techniques to determine what word or words were spoken based on the identification of the Gaussian distributions for each of the received frames of speech.

<div align="center">CONCLUSION</div>

Systems and methods consistent with the present invention perform speech recognition

10   with less computation and, therefore, in an expedited manner over conventional systems. The systems and methods permit a very large number of Gaussian distributions to be used in an HMM system, resulting in robust estimates of the parameters.

In addition, the systems and methods reduce the storage requirements for performing the required computations. According to the present invention, each dimension of each Gaussian

15   distribution now requires only a few bits of storage (e.g., six bits) rather than a full floating point value for the mean and inverse variance (typically eight bytes). This greatly reduces the storage requirements (by a factor of ten in the given example), which is important when a very large number of Gaussian distributions are needed to achieve high accuracy.

The foregoing description of preferred embodiments of the present invention provides

20   illustration and description, but is not intended to be exhaustive or to limit the invention to the

<div align="center">15</div>

precise form disclosed. Modifications and variations are possible in light of the above teachings

or may be acquired from practice of the invention. The scope of the invention is defined by the

claims and their equivalents.